

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Jerry G. AGUREN

Confirmation No.:

Application No.: Unassigned

Examiner:

Filing Date: Herewith

Group Art Unit:

Title: METHOD AND SYSTEM FOR IMPLEMENTING STORAGE STRATEGIES OF A FILE AUTONOMOUSLY OF A USER

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
( ) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

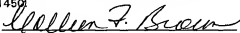
It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EV303484487US

Date of Deposit 09/24/2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450

By



Typed Name: Colleen F. Brown

Respectfully submitted,

Jerry G. AGUREN

By

  
Mark E. Scott

Attorney/Agent for Applicant(s)  
Reg. No. 43,100

Date: 09/24/2003

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	<b>200308699-1</b>		
	APPLICANT		
	<b>Jerry G. AGUREN</b>		
	FILING DATE	GROUP	
	<b>Her with</b>		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A 5,877,759	03/02/1999	Bauer	
	1B 5,958,010	09/28/1999	Agarwal et al.	
	1C 5,963,447	10/05/1999	Kohn et al.	
	1D 6,009,456	12/28/1999	Frew et al.	
	1E 6,012,152	01/04/2000	Douik et al.	
	1F 6,021,437	02/01/2000	Chen et al.	
	1G 6,088,689	07/11/2000	Kohn et al.	
	1H 6,192,354	02/20/2001	Bigus et al.	
	1I 6,212,649	04/03/2001	Yalowitz et al.	
	1J 6,289,337	09/11/2001	Davies et al.	
	1K 2001/0033551	10/25/2001	Busuloc et al.	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L				
	1M				
	1N				
	1O				
	1P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	
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EXAMINER	DATE CONSIDERED

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FILING DATE <b>Herewith</b>		GROUP	

**REFERENCE DESIGNATION                      U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A 6,341,960	01/29/2002	Frasson et al.	
	1B 2002/0040183	04/04/2002	Iliff	
	1C 2002/0046157	04/18/2002	Solomon	
	1D 2002/0052540	05/02/2002	Iliff	
	1E 2002/0069134	06/06/2002	Solomon	
	1F 2002/0082818	06/27/2002	Ferguson et al.	
	1G 2002/0082819	06/27/2002	Ferguson et al.	
	1H 2002/0082820	06/27/2002	Ferguson et al.	
	1I 2002/0082821	06/27/2002	Ferguson et al.	
	1J 2002/0083146	06/27/2002	Ferguson et al.	
	1K 2002/0107954	08/08/2002	Ferguson et al.	

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A 6,484,155	11/19/2002	Kiss et al.	
	1B 5,790,789	08/04/1998	Suarez	
	1C			
	1D			
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	1F			
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	1H			
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